





Patent

Docket No: 57320US002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

CLARK, John C.; BURLEIGH, Malcolm B.;

JARIWALA, Chetan P.; QIU, Zai-Ming

Serial No.: 10/004,847

Filed: December 4, 2001

ber 4, 2001 Examiner: unknown

For: REPELLENT FLUOROCHEMICAL COMPOSITIONS

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CERTIFICATE OF MAILING

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Signature Hylis H Froelke

Group Art Unit: 1755

Date

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Washington, DC 20231

Dear Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, enclosed please find a completed PTO-1449 form citing references submitted for consideration during examination of the above-referenced patent application. A copy of each of the references cited therein is also enclosed.

It is believed that no fee is due; however, in the event a fee is required, please charge it to Deposit Account No. 13-3723.

It is respectfully requested that the Examiner initial and return the enclosed PTO-1449 form in order to indicate that each of the references listed thereon has been considered in connection with the present application.

Registration Number 33,931 Telephone Number 651-733-3597
Date

Respectfully submitted

By

Kent S. Kokko, Ph.D.

Office of Intellectual Property Counsel 3M Innovative Properties Company P.O. Box 33427

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Substitute for f rm 1449A/PTO (modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT Applicati n Number Filing Date First Named Invent r Art Unit Art Unit Total Company Sheets if necessary) Applicati n Number Filing Date First Named Invent r Art Unit Total Company Sheets if necessary)

MAR 1 2 2002 atorney Case Number 57320US002 Page 1 of 2 TAS Patent Documents PADEMARKS Pages, Columns, Lies, Where Name of Patentee **Publication Date** Exam. Cite Relevant Passages or Relevant MM-DD-YYYY or Applicant of Cited Document Init.* No. mber-Kind Code (if known) Figures Appear 08/20/68 Guenthner et al. Α1 US- 3,398,182 **A2** US-3,896,251 07/22/75 Landucci **A3** US- 3,916,053 10/28/75 Sherman et al. **A4** US-4,001,309 01/04/77 Hayashi et al. Patel **A5** US- 4,264,484 04/28/81 03/12/85 Matsuo et al. A6 US- 4,504,401 **A7** US- 4,540,497 09/10/85 Chang et al. **A8** US- 4,606,737 08/19/86 Stern A9 US- 4,668,406 05/26/87 Chang Matsuo et al. A10 US- 4,788,287 11/29/88 Matsuo et al. A11 US- 4,792,354 12/20/88 A12 US- 4,822,373 04/18/89 Olson et al. A13 US- 4,853,418 08/01/89 Hanada et al. A14 US- 4,875,901 10/24/89 Payet et al. Chang et al. A15 US- 4,937,123 06/26/90 A16 US- 5,025,052 06/18/91 Crater et al. A17 US- 5,098,774 03/24/92 Chang A18 Sargent et al. US- 5,212,272 05/18/93 A19 US- 5,223,340 06/29/93 Moss, III et al. A20 US- 5,310,828 05/10/94 Williams A21 US- 5,316,850 05/31/94 Sargent et al. A22 US- 5,350,795 09/27/94 Smith et al. **A23** US- 5,410,073 04/25/95 Kirchner A24 US- 5,411,766 05/02/95 Kirchner A25 US- 5,414,111 05/09/95 Kirchner A26 US- 5,520,962 05/28/96 Jones, Jr. **A27** US- 5,565,564 10/15/96 Kirchner A28 US- 5,672,651 09/30/97 Smith

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Date C nsidered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute for f rm 1449A/PTO (modified)	Applicati n Number	10/004847
INFORMATION DISCLOSURE	Filing Date	December 4, 2001
STATEMENT BY APPLICANT	First Named Invent r	Clark, John C.
	Art Unit	1755Mgs C
(Use as many sheets if necessary)	Examiner Name	unknown /

Attorney Case Number

Page 2 of 2

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Init.*	No.	Number-Kind Code (if known) MM-DD-YYYY	or Applicant of Cited Document	Figures Appear	
	A29	US- 5,688,884	11/18/97	Baker et al.	
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	A31	US- 6,197,378 B1	03/06/01	Clark et al.	

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Init.*	Init.* No.	Ctry. Code	Number-KindCode (If known)	MM-DD-YYYY	Applicant of Cited Document	or Relevant Figures Appear	(Check if yes)
	B1						

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS
Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
	C1	"Waterproofing and Water/Oil Repellency", Kirk-Othmer Encyclopedia Of Chemical Technology, pp. 442-465, Vol. 24, 3 rd Ed.
	C2	Edited by R. E. BANKS, "Industrial Aspects of Fluorine Chemistry", Organofluorine Chemicals and Their Industrial Applications, 1979, p. 56, Ellis Horwood Ltd.
	С3	JAMES O. HENDRICKS, "Industrial Fluorochemicals", Industrial and Engineering Chemistry, (January 1953), pp. 99-105, Vol. 45, No. 1
	C4	M. K. BERNETT AND W. A. ZISMAN, "Wetting Of Low-Energy Solids By Aqueous -Solutions-Of-Highly-Fluorinated-Acids-And-Salts", The-Journal-of-Physical-Chemistry, (1959), pp. 1911-1916, Vol. 63
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	C7	Edited by R. E. BANKS, "Electrochemical Fluorination (Simons Process)", Preparation, Properties, and Industrial Applications of Organofluorine Compounds, 1982, p. 26, Ellis Horwood Ltd.
	C8	DOUGLAS A. WICKS AND ZENO W. WICKS JR., "Blocked Isocyanates III.: Part. A. Mechanisms And Chemistry", Progress In Organic Coatings, (July 1999), pp. 148-172, Vol. 36, No. 3

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